

#### I Account Holder Details

##### 戶口持有人資料

Account Name 客戶名稱 \_\_\_\_\_  
Account No. 客戶號碼 \_\_\_\_\_  
Daytime Telephone 日間聯絡電話 \_\_\_\_\_

#### II Redemption Details

##### 贖回基金詳情

###### Form Submission Method 遞交表格方式：

Please return the completed form to **HSBC Transfer Agency** either:

請填妥表格並以下列途徑交回**HSBC Transfer Agency**：

###### 1) By POST 郵寄：

HSBC Transfer Agency, 5/F Tower 1  
HSBC Centre, 1 Sham Mong Road,  
Kowloon, Hong Kong  
HSBC Transfer Agency  
香港九龍深旺道1號  
滙豐中心1座5樓

###### 2) By FAX 傳真：+852 3409 1079

(Only applicable to shareholder who has given  
fax authorisation, please refer to Note 2 & 3)  
(只適用於已作出傳真授權的股份持有人，請參閱  
備註二及三)

I/We wish to redeem my holdings in the following fund(s):  
本人／吾等欲贖回所持下列基金之股份：

Currency & Amount  
貨幣及總額

No. of shares  
股份數量

1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_  
4. \_\_\_\_\_  
5. \_\_\_\_\_

#### III Method of Payment

##### 付款方法

I/We would like to receive proceeds of the redemption of shares in the currency and manner specified below  
本人／吾等希望贖回股份之款項按以下指定貨幣及方式作出

HKD 港元  USD 美元  GBP 英鎊  EUR 歐元  JPY 日圓  CHF 瑞士法郎  SGD 新加坡元

AUD 澳元  Fund currency 基金貨幣

**If no currency is indicated, redemption proceeds will be paid in the base currency of the relevant shares.**  
**如無指示收款貨幣，贖回款項將以有關股份之貨幣支付。**

HKD Cheque 港元支票 (payable to FIRST registered shareholder) (支付予首名登記股份持有人)

Telegraphic Transfer 電匯

###### \* Beneficiary

收款人

Bank Name and Branch

銀行及分行名稱

Bank Account No. / IBAN No.

銀行戶口賬號 / IBAN 號碼

Beneficiary Bank SWIFT Code

收款銀行編碼

###### # Correspondent Bank Name and Branch

中介銀行及分行名稱

Correspondent Bank SWIFT Code

中介銀行編碼

If the currency specified above "(Specified Currency)" and the denomination of the shares being redeemed are in different currencies, I/we authorise the conversion into the Specified Currency at such rates as determined by the relevant service provider from time to time and the deduction of any costs, charges and expenses thereof from the redemption proceeds. I/we acknowledge that any such currency conversion is subject to risks, and the costs of currency conversion may be significant.

若以上指定的貨幣(「指定貨幣」)有別於贖回股份的計算貨幣，本人／吾等授權按有關服務提供者不時釐定的有關匯率兌換至指定貨幣，並就此從贖回款項中扣除任何相關成本、收費及支出。本人／吾等明白任何有關貨幣兌換均有風險，且貨幣兌換可能產生巨額成本。

\* For joint name account, if there is no indication, the beneficiary will be the first registered shareholder and NO third party payment will be made.

如無指示，有關聯名戶口的收款人將為首名登記股份持有人，並不准許付款予第三者。

# Shareholders must complete this for any bank account which is not in Hong Kong.  
若非香港銀行賬戶，登記股份持有人必須填寫此項。

## IV Signature(s) 簽署

I/We acknowledge that by giving the fax authorisation I am/we are subject to certain risks as well as terms and conditions. (see Note 2)  
本人／吾等明白透過傳真授權，本人／吾等須承受某些風險及條款（請參閱備註2）。  
I/We understand the cost of any currency conversion and other related charges and expenses (if applicable) will be deducted from the redemption proceeds.  
本人／吾等瞭解任何貨幣兌換之成本和其他有關收費及支出（如適用）將由贖回款項中直接扣除。  
I/We understand that in respect of all Funds telegraphic transfer charges will be deducted from the redemption proceeds.  
本人／吾等明瞭所有基金的贖回款項如以電匯形式支付，電匯所需的費用將自本人／吾等的贖回款項中扣除。  
I/we acknowledge that Schroders is providing the service that allows me/us to subscribe, redeem, switch or otherwise deal in the shares of the Fund(s). I/we confirm that Schroders has invited me/us to seek independent legal, tax, financial, or investment advice if I/we wish so that the independent adviser can explain to me/us that the Fund(s) is suitable for me/us having regard to my/our financial situation, investment experience and investment objectives.  
本人／吾等明白施羅德為本人／吾等認購、贖回、轉換或處理基金之股份提供服務。本人／吾等確施羅德已要求如本人／吾等希望，本人／吾等可尋求獨立的法律、稅務、財務或投資意見，使獨立顧問在考慮本人／吾等之財務狀況、投資經驗及投資目標後，可向本人／吾等解釋基金如何適合本人／吾等。  
I am/we are informed of and I/we understand the result of my/our completion of the Risk Profile Questionnaire. I/we acknowledge and understand that if I/we invest in the shares of the Fund(s) which Schroder has classified as not suitable for classification to which I/we belong, my/our investment in the shares of the Fund(s) may involve higher risks which I/we can take and may not be suitable for me/us per Schroders' risk assessment and therefore may not be in my/our best interest. **I/We understand that to fulfill certain regulatory obligation Schroders has the discretion not to make certain funds available to me/us.**  
本人／吾等已獲通知並了解本人／吾等填寫的投資風險取向問卷結果。本人／吾等明白和了解如本人／吾等投資於施羅德分類為並非本人／吾等所屬級別的基金股份，本人／吾等投資於該等基金涉及的風險，根據施羅德的風險評估，或許較本人／吾等可以承受的為高而不適合本人／吾等，因此可能不符合本人／吾等的最佳利益。**本人／吾等明白施羅德為履行若干監管責任，可酌情拒絕向本人／吾等發售某些基金。**  
I/we further confirm that I/we have independently and without reliance on Schroders made my/our own judgements and decisions with regard to each instruction to Schroders to redeem the shares of the Fund(s) and assume full responsibility therein.  
本人／吾等再次確認本人／吾等給予施羅德有關基金股份贖回的各指示，均為本人／吾等獨立地，且不倚賴施羅德而作出的判斷和決定。本人／吾等就此承擔所有責任。  
As such, I/we also acknowledge and agree that Schroders and its officers, directors, employees, and authorised agents do not owe me/us any fiduciary or similar duties and will have no liability with respect to my/our decision to redeem the shares of the Fund(s).  
因此，本人／吾等明白並同意施羅德及其高級職員、董事、職員和獲授權代理人對本人／吾等無任何受信或類似責任，並對本人／吾等贖回基金股份的決定毋須負責。

Signature(s) 簽署 (Please refer to Notes 2 & 3 請參閱備註二和三)

Agent Stamp (經紀蓋印)

Signature 簽署(1)

Signature 簽署(2)

Date 日期

Date 日期

## Notes and Instructions 備註

- Shares or units ("shares") are offered on the basis of the information contained in the current offering document of each Fund and the latest annual report(s) and accounts and, if later, semi-annual report(s) of the Fund(s) and such other documents (if any) as may be issued by Schroders expressly in conjunction with the issue of such offering document. Any further information provided by or representations made by any dealer, salesman or other person shall be regarded as unauthorised and must not be relied upon. Reference herein to a "Trustee" means the Fund itself or the Custodian or Registrar thereof as the context requires.
- By giving the fax authorisation in the Application Form, the subscriber(s) has/have:
  - authorised Schroders and the Trustee of the relevant Fund and any of their authorised agents to act upon instructions given by facsimile, with regard to the shares subscribed for (and any further shares purchased) or any matter in connection with them or any of them without liability in respect of any transfer, payment or any other act done in accordance with such instructions, including the subsequent subscription, redemption, or switching of shares, or any other matter relating to the shares, including payment of proceeds from sales of shares;
  - agreed and acknowledged that Schroders and the Trustee of the relevant Fund and any of their authorised agents shall be entitled to rely on the instructions which Schroders and the Trustee and any of their authorised agents reasonably believe, in good faith, to be from the subscriber, or a person authorised to act on the subscriber's behalf;
  - acknowledged that this authorisation shall remain in force until an original notice in writing of its termination is received by Schroders and any such notice shall be without prejudice to the completion of transaction already initiated pursuant to this authorisation; and
  - agreed to indemnify and hold Schroders and the Trustee of the relevant Fund and any of their authorised agents harmless from and against any loss arising in respect of acting on instructions given by facsimile, by telephone or by electronic means or a decision not to act on the basis of such instructions or for any loss arising from the non-receipt of such instructions.
- This form must be signed by all joint shareholders unless written instruction was given to Schroders specifying that any one of the joint holder's signature is sufficient to operate the account or otherwise. Instructions for the redemption of shares shall be given in this form. The completed form shall be sent to Schroders by post or by fax if you have given the fax authorisation in the Application Form (please also refer to Note 2).
- If this form is signed by an attorney, original power of attorney or a duly certified copy thereof should be submitted together with this form.
- Pursuant to applicable money laundering regulations, shareholders may be asked for proof of identity and an original of this form when selling shares. In this case Schroders and the relevant parties of the Fund will not pay proceeds until satisfactory evidence and/or such original form have been received.
- In relation to any currency conversion, details of the charges and expenses applicable to a currency conversion transactions are available upon request.
- 單位或股份（下稱「股份」）的發售乃按載於各基金最新之銷售說明書、基金最近之年報及財務報告書及期後刊發的中期報告書，及由施羅德集團發行並明確表明須與銷售說明書一併發行之該等其他文件內之細則進行。任何由經紀、推銷員或其他人士所提供之資料或陳述皆未經授權並不應予以倚賴。本文提及的「信託人」，根據上下文意義可指基金或保管人或註冊處。
- 藉申請表格內之傳真授權，認購人已：
  - 授權施羅德和有關基金的信託人及其授權的任何代理人，依據以傳真方式的指示，就有關認購股份（和任何進一步認購股份）或任何有關事宜行事。施羅德和信託人及其授權的任何代理人就根據該等指示進行任何轉讓、收付或任何其他有關行為，包括其後的股份認購、贖回或轉讓，或任何有關股份的其他事宜，包括出售股份所得款項的收付事宜毋須負責；
  - 同意並明白施羅德和有關基金的信託人及其授權的任何代理人，可以依賴施羅德和有關基金的信託人及其授權的任何代理人合理地及善意地相信是來自認購者或獲授權代認購者行事的指示；
  - 明白該授權在施羅德收到書面終止通知書正本前維持有效。任何該等通知書無損已經依據該授權已進行之交易的完成；及
  - 同意彌償施羅德和有關基金的信託人及其授權的任何代理人，因根據以傳真、電話或電子方式的指示行事，或因拒絕根據該等指示行事而導致的任何損失，或因未收妥該等指示而導致的任何損失，或避免使施羅德和有關基金的信託人及其授權的任何代理人因上述事件蒙受損失。
- 所有聯名股份持有人均須簽署本表格，除非此等聯名股份持有人已經以書面形式通知施羅德，表明任何一位聯名持有人可就戶口之事項行事或以其他方式行事。閣下須以本表格作出贖回股份的指示。填妥的表格須通過郵寄或傳真發送給施羅德（傳真只適用於已作出申請表格內之傳真授權，另請參閱備註2）。
- 如本表格由授權人士簽署，授權書正本或適當地經證明的副本應連同本表格一併遞交。
- 根據適用的防止清洗黑錢條例，股份持有人或會在賣出股份時被要求提供身份證明文件及表格正本，則施羅德及基金有關人士將在收到滿意證明及／或該等正本後，方會支付贖回款項。
- 就任何貨幣兌換而言，適用於貨幣兌換交易的收費及支出詳情可應要求索取。

The following section is only applicable to individual investors. 以下部只適用於個人投資者。

Do you want to update your residential address 你想更改居住地址?  Yes 是  No 否

Updated residential address 更新居住地址：

Please provide a proof of address within the last six months if you have update to your address. 如有更改地址，請提供最六個月內的住址證明。

Is your correspondence address different from your residential address? 你的通訊地是否與居住地址不同?  Yes  No

Correspondence Address if different from residential address 通訊地址，如與居住地址不同：

**Occupation 職業**

Employed 受僱人士  Self-employed/Proprietor 自僱人士／經營者  Retired 退休人士  Housewife 家庭主婦  Unemployed 待業  
Industry (only needed for employed, self-employed or proprietor) 行業 (只適用於是受僱人士、自僱人士／經營者)

Job Title (only needed for employed, self-employed or proprietor) 職銜 (只適用於是受僱人士、自僱人士／經營者)

**Source of Fund Declaration 投資資金來源聲明**

Salary 薪金  Bonus 花紅  Investment Income/Bonus 投資收益  Pension 退休金  
 Inheritance/Gift 繼承／贈予  Insurance Income 保險金  Rental Income 租金收入  Real Estate Sale 出售物業  
 Trust Income 信託收入  Operating Income 經營收入  
 Savings/Interest Income 儲蓄／利息收入 Others 其他：\_\_\_\_\_

Country of Tax Residence 繳稅國家／地區\_\_\_\_\_

Tax ID 稅務身份證明號碼\_\_\_\_\_

Email Address 電郵地址\_\_\_\_\_

If applicable, please fill in the joint account holder information. 請填寫聯名戶口持有人的資料 (如適用)。

Joint Account Name 客戶名稱\_\_\_\_\_

Do you want to update your residential address 你想更改居住地址?  Yes 是  No 否

Updated residential address 更新居住地址：

Please provide a proof of address within the last six months if you have update to your address. 如有更改地址，請提供最六個月內的住址證明。

Is your correspondence address different from your residential address? 你的通訊地是否與居住地址不同?  Yes  No

Correspondence Address if different from residential address 通訊地址，如與居住地址不同：

**Occupation 職業**

Employed 受僱人士  Self-employed/Proprietor 自僱人士／經營者  Retired 退休人士  Housewife 家庭主婦  Unemployed 待業  
Industry (only needed for employed, self-employed or proprietor) 行業 (只適用於是受僱人士、自僱人士／經營者)

Job Title (only needed for employed, self-employed or proprietor) 職銜 (只適用於是受僱人士、自僱人士／經營者)

**Source of Fund Declaration 投資資金來源聲明**

Salary 薪金  Bonus 花紅  Investment Income/Bonus 投資收益  Pension 退休金  
 Inheritance/Gift 繼承／贈予  Insurance Income 保險金  Rental Income 租金收入  Real Estate Sale 出售物業  
 Trust Income 信託收入  Operating Income 經營收入  
 Savings/Interest Income 儲蓄／利息收入 Others 其他：\_\_\_\_\_

Country of Tax Residence 繳稅國家／地區\_\_\_\_\_

Tax ID 稅務身份證明號碼\_\_\_\_\_

Email Address 電郵地址\_\_\_\_\_

Please complete two forms for registering three or four joint account holders. 請填寫兩張申請表格以提供第三名或第四名聯名戶口持有人的資料。

During the redemption process, you may be asked to provide additional documents for AML & KYC purpose, the document request may include but not be limited to: 在贖回過程中，您可能被要求提供額外的文件以用於反洗錢(AML)和了解您的客戶(KYC)目的，文件請求可能包括但不限於：

- ID document issued by a government 政府頒發的身份證件文件
- Proof of address - issued within the last 6 months 最近六個月內的住址證明
- Tax related self certification form 與稅務相關的認證表格
- Source of Fund 資金來源
- Source of Wealth etc. 財富來源等